How Southwestern Responds to Violations of University Policy

1 Alleged Violation of University Policy
   - On campus, at an official University function, at an event sponsored by a registered student organization, or that has substantial connection to SU interests.

2 University Notified of Incident
   - Reported by:
     - Residence Life staff
     - SUPD
     - other law enforcement agency
     - Dean of Students
     - any individual submitting an account of an incident to the University.

3 Review & Investigation of alleged violation by Dean of Students (DOS) & in some cases, SUPD. DOS refers the matter to one of the processes in step 4, based on its nature and severity and the disciplinary history of the student(s) involved.

4A* Academic Violations
   - Honor Code Hearing Board
   - OR Non-judicial Resolution, See: Honor Code Process Chart

4B* Sexual Misconduct Violations
   - Sexual Misconduct Hearing Board
   - A formal hearing is convened with DOS or designee as complainant and student(s) as respondent(s).
   - Evidence & witnesses are presented by complainant & respondent to the Hearing Board, a 5-person panel selected from a pool of trained faculty and staff.
   - Hearing Board makes a finding of responsible or not responsible, and administers sanctions if appropriate.
   - For complete details, including Alternative Procedure and Appeals, see 2016 Student Handbook pp. 78-89
   - Appeal heard by 3-person Appellate Board.

4C-1 Minor Violations & Violations with Established Precedents
   - Administrative Hearing
   - Student meets with Hearing Officer (DOS or designee). Hearing Officer presents charges and supporting information. Student presents his/her case.
   - Hearing Officer renders decision, assigns sanctions if appropriate.
   - Does student wish to appeal?
     - NO
       - Case is resolved, typically within days of first report. Student completes sanctions by deadline, if applicable.
     - YES
       - Does either party wish to appeal?
         - YES
           - Appeal heard by 3-person UCD Appeals Panel.
         - NO
           - Case is resolved; process may require weeks from first report. Student completes sanctions by deadline, if applicable.

4C-2 Referred Violations, Group Violations, & Appeals
   - University Committee on Discipline
   - A formal hearing is convened with DOS or designee as complainant and student(s) as respondent(s).
   - Evidence & witnesses are presented by DOS/complainant & respondent to the Hearing Committee, a 3-person panel selected from a pool of trained students, faculty, and staff.
   - Hearing Committee makes a finding of responsible or not responsible, and administers sanctions if appropriate.
   - Does student wish to appeal?
     - NO
     - YES

Sanctioning
Sanctions are imposed with consideration of the nature and severity of the incident and the disciplinary history of the respondent(s). More detailed descriptions of sanctions listed below can be found in the 2016 Student Handbook on pp. 68-69 and pp. 85-87.

Sanctions may include, but are not limited to: Contract Probation, Disciplinary Probation, Expulsion, Fees and Fines, Loss of Privileges, Mandated Counseling, Mandated Counseling Assessment, Mandated Off-Campus Assessment, Mandated Housing Reassignment, Mandatory Housing Relocation and Class Scheduling, Parental Notification, Physical Restrictions, Restitution, Social Probation, Special Program or Projects, Suspension, and the following which apply to student organizations: Alcohol Bar, Expulsion, Mandated Educational Programs, National Organization Contact, Probation of recruiting, adding, and/or inducting new members, Social Probation, Suspension.

* Violations of the Honor Code and of the Sexual Misconduct policy are adjudicated through prescriptive processes which are described comprehensively in the Student Handbook.