

**TO THE APPLICANT:** Please return this completed form by December 1, 2008. If necessary, we may request additional information to support your application for financial aid. If you are approved for admission, the Financial Aid Office will prepare an estimate of your eligibility for financial aid by December 15, 2008. If you accept our offer of admission and financial aid, **you must submit a Free Application for Federal Student Aid (FAFSA) by March 1, 2009** so that we can confirm our initial estimate of your financial aid eligibility. The 2009-2010 FAFSA will be available online at [www.fafsa.ed.gov](http://www.fafsa.ed.gov) after January 1, 2009. Our Federal School Code is **003620**.

**Return this completed form to:**

Financial Aid Office • Southwestern University • P.O. Box 770 • Georgetown, TX 78627-0770  
OR Fax to: (512) 863-1507

**\*Be sure to make a copy of the EDFAF for your files before mailing it to us.\***

## SECTION I: STUDENT INFORMATION

Name \_\_\_\_\_ Social Security Number \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
*Last First MI*

Home Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Home Phone (\_\_\_\_) \_\_\_\_\_ Driver's License Number and State (if any) \_\_\_\_\_

Primary Email Address \_\_\_\_\_

Citizenship Status:  U.S. Citizen  Permanent Resident  Other *Visa Type* \_\_\_\_\_

- a. If you are a permanent resident, indicate your Alien Registration Number here \_\_\_\_\_
- b. If you are a non-U.S. citizen, please contact the Financial Aid Office.

a. Student's current marital status:  Single  Married  Divorced/Separated  Widowed

b. Month and Year you were married, separated/divorced, or widowed (if applicable) \_\_\_\_\_

c. State of Legal Residence \_\_\_\_\_ Date of Legal Residence \_\_\_\_\_

d. Registered for Selective Service (if required) Yes No

## STUDENT FINANCIAL INFORMATION

a. Type of tax return to be filed:  1040  1040-A / EZ  Foreign Tax Return  Not required to file

b. 2008 Student's Estimated Adjusted Gross Income ..... \$ \_\_\_\_\_

c. 2008 Student's Estimated US Income Tax Paid ..... \$ \_\_\_\_\_

d. Number of exemptions to be claimed on student's 2008 tax return..... \$ \_\_\_\_\_

e. Student's estimated income earned from work (wages, salaries, tips)..... \$ \_\_\_\_\_

f. As of today, what is the current value of the student's cash, savings and checking accounts? Do not include student financial aid..... \$ \_\_\_\_\_

g. As of today, what is the net worth of the student's investments, including real estate? Net worth means current value minus debt. (see Notes on page 5) ..... \$ \_\_\_\_\_

h. As of today, what is the net worth of the student's current business and/or investment farms? (see Notes on page 5) ..... \$ \_\_\_\_\_

i. Will you receive veterans education benefits between July 1, 2009 and June 30, 2010? .....  Yes  No

j. What type of veterans education benefits will you receive? (see Notes on page 5 and enter the correct number) \_\_\_\_\_

- k. Combat pay or special combat pay. Only enter the amount that was taxable and included in your adjusted gross income. Combat pay is reported on the W-2 in Box 12, Code Q. .... \$ \_\_\_\_\_
- l. Tax exempt interest income from IRS Form 1040—line 8b or 1040A—line 8b. .... \$ \_\_\_\_\_
- m. Veterans noneducation benefits such as Disability, Death Pension, or Dependency & Indemnity Compensation (DIC) and/or VA Educational Work-Study allowances..... \$ \_\_\_\_\_
- n. Other student untaxed income not reported, such as workers’ compensation, disability, untaxed portions of pensions, IRA distributions, IRA deductions, etc. Don’t include student aid, earned income credit, child tax credit, welfare payments, untaxed Social Security benefits, Workforce Investment Act educational benefits, combat pay (if you are not a tax filer), benefits from flexible spending arrangements (e.g., cafeteria plans), foreign income exclusion or credit for federal tax on special fuels. .... \$ \_\_\_\_\_
- o. Money received, or paid on your behalf (e.g., bills), not reported elsewhere on this form..... \$ \_\_\_\_\_

**SECTION II: STUDENT DEPENDENCY**

- a. Were you born before January 1, 1986? .....  Yes  No
- b. At the beginning of the 2009-2010 school year, will you be working on a master’s or doctorate program (such as an MA, MBA, MD, JD, PhD, or EdD, or graduate certificate, etc.)? .....  Yes  No
- c. As of today, are you married? Answer “Yes” if you are separated but not divorced. ....  Yes  No
- d. Do you have children who will receive more than half of their support from you between July 1, 2009 and June 30, 2010? .....  Yes  No
- e. Do you have dependents (other than your children or spouse) who live with you and who receive more than half of their support from you, now and through June 30, 2010 .....  Yes  No
- f. When you were age 13 or older, were both your parents deceased, were you in foster care or were you a dependent/ward of the court? (See Notes page 5.) .....  Yes  No
- g. As of today, are you an emancipated minor as determined by a court in your state of legal residence? (See Notes page 5.) .....  Yes  No
- h. As of today, are you in legal guardianship as determined by a court in your state of legal residence? (See Notes page 5.) .....  Yes  No
- i. At any time on or after July 1, 2008, did your high school or school district homeless liaison determine that you were an unaccompanied youth who was homeless? (See Notes page 5). ....  Yes  No
- j. At any time on or after July 1, 2008, did the director of an emergency shelter program funded by the U.S. Department of Housing and Urban Development determine that you were an unaccompanied youth who was homeless? (See Notes page 5). ....  Yes  No
- k. At any time on or after July 1, 2008, did the director of a runaway or homeless youth basic center or transitional living program determine that you were an unaccompanied youth who was homeless or were self-supporting and at risk of being homeless? (See Notes page 5). ....  Yes  No
- l. Are you a veteran of the U.S. Armed Forces? .....  Yes  No
- m. Are you currently serving on active duty in the U.S. Armed Forces for purposes other than training? .....  Yes  No

**SECTION III: PARENT INFORMATION**

- a. What is your parents’ marital status as of today?  Married/Remarried  Single  Divorced/Separated  Widowed
- b. Month and year parents were married, separated, divorced, or widowed (if applicable) \_\_\_\_\_
- c. Father’s/Stepfather’s Employer: \_\_\_\_\_ Work Phone: (\_\_\_\_) \_\_\_\_\_ Email: \_\_\_\_\_  
 Mother’s/Stepmother’s Employer: \_\_\_\_\_ Work Phone: (\_\_\_\_) \_\_\_\_\_ Email: \_\_\_\_\_
- d. Parents’ State of Legal Residence \_\_\_\_\_ Did your parents become legal residents of this state before January 1, 2004? \_\_\_\_\_

e. Please list all family members living in your household\* (*see notes on page 5*). Do not list individuals living in your non-custodial parents' household (in the case of divorce).

Family Member's Name	Relationship to Student	Date of Birth (MM/DD/YYYY)	Occupation	Undergraduate college student in 2009-2010?	Name of College or University
_____	SELF	_____	STUDENT	YES	SOUTHWESTERN UNIV
_____	PARENT	_____	_____	_____	_____
_____	PARENT	_____	_____	_____	_____

\*Additional members may be included on a separate sheet.

f. Total number of family members in primary household:  g. Total number of household members (excluding parents) who will be undergraduate college students in 2009-2010:

h. In 2007 or 2008, did you, your parents, or anyone in your parents' household receive benefits from any of the federal benefit programs listed? Mark all the programs that apply.  Supplemental Security Income  Food Stamps  Free/Reduced Price Lunch  TANF  WIC

### PARENT FINANCIAL INFORMATION

- a. Type of tax return to be filed:  1040  1040-A / EZ  Foreign Tax Return  Not required to file
- b. 2008 Parents' Estimated Adjusted Gross Income ..... \$ \_\_\_\_\_
- c. 2008 Parents' Estimated US Income Tax paid ..... \$ \_\_\_\_\_
- d. Number of exemptions to be claimed on parents' 2008 tax return ..... \$ \_\_\_\_\_
- e. 2008 Parents' Estimated income earned from work (wages, salaries, tips) ..... Mother/Stepmother \$ \_\_\_\_\_  
 Father/Stepfather \$ \_\_\_\_\_
- f. As of today, what is your parents' total current balance of cash, savings and checking accounts? ..... \$ \_\_\_\_\_
- g. As of today, what is the net worth of your parents' investments, including real estate (not your home)? Net worth means current value minus debt. (*see Notes on page 5*)..... \$ \_\_\_\_\_
- h. As of today, what is the net worth of your parents' current business and/or investment farms? Do not include a farm that your parents live on and operate. (*see Notes on page 5*)..... \$ \_\_\_\_\_
- i. Education credits (Hope and Lifetime Learning tax credits) from IRS Form 1040—line 49 or 1040A—line 31. \$ \_\_\_\_\_
- j. Child support paid because of divorce or separation or as a result of a legal requirement. .... \$ \_\_\_\_\_
- k. Taxable earnings from need-based employment programs, such as Federal Work-Study and need-based employment portions of fellowships and assistantships. .... \$ \_\_\_\_\_
- l. Student grant and scholarship aid reported to the IRS in your adjusted gross income. Includes AmeriCorps benefits (awards, living allowances and interest accrual payments), as well as grant and scholarship portions of fellowships and assistantships. .... \$ \_\_\_\_\_
- m. Combat pay or special combat pay. Only enter the amount that was taxable and included in your adjusted gross income. Combat pay is reported on the W-2 in Box 12, Code Q. .... \$ \_\_\_\_\_
- n. As of today, are either of your parents a dislocated worker? (*See Notes, page 5*) ..... \$ \_\_\_\_\_
- o. Payments to tax-deferred pension and savings plans (paid directly or withheld from earnings), including, but not limited to, amounts reported on the W-2 forms in Boxes 12a through 12d, codes D, E, F, G, H, and S. .... \$ \_\_\_\_\_
- p. IRA deductions and payments to self-employed SEP, SIMPLE, Keogh and other qualified plans from IRS Form 1040—line 28 + line 32 or 1040A—line 17 ..... \$ \_\_\_\_\_
- q. Child support received for all children. Don't include foster or adoption payments. .... \$ \_\_\_\_\_
- r. Tax exempt interest income from IRS Form 1040—line 8b or 1040A—line 8b. .... \$ \_\_\_\_\_
- s. Untaxed portions of IRA distributions from IRS Form 1040—lines (15a minus 15b) or 1040A—lines (11a minus 11b). Exclude rollovers. If negative, enter a zero here. .... \$ \_\_\_\_\_
- t. Untaxed portions of pensions from IRS Form 1040—lines (16a minus 16b) or 1040A—lines (12a minus 12b). Exclude rollovers. If negative, enter a zero here. .... \$ \_\_\_\_\_

- u. Housing, food and other living allowances paid to members of the military, clergy and others (including cash payments and cash value of benefits). ..... \$ \_\_\_\_\_
- v. Veterans noneducation benefits such as Disability, Death Pension, or Dependency & Indemnity Compensation (DIC) and/or VA Educational Work-Study allowances. .... \$ \_\_\_\_\_
- w. Other untaxed income not reported, such as workers' compensation, disability, etc. Don't include student aid, earned income credit, child tax credit, welfare payments, untaxed Social Security benefits, Workforce Investment Act educational benefits, combat pay (if your parents are not tax filers), benefits from flexible spending arrangements (e.g., cafeteria plans), foreign income exclusion or credit for federal tax on special fuels. .... \$ \_\_\_\_\_

**SECTION IV: UNUSUAL CIRCUMSTANCES**

Please attach an additional page (or pages) to explain any unusual financial/personal circumstances that you wish us to consider. Please be advised that the Financial Aid Office may request additional documentation to support your statements.

**SECTION V: SIGNATURES AND AUTHORIZATIONS**

**Certification of Accuracy:** By signing this application, you certify the following:

If you are the student, by signing this application you certify that you (1) will use federal, state and/or institutional student financial aid awarded by Southwestern University to pay only the cost of attending Southwestern University, (2) are not in default on a federal student loan or have made satisfactory arrangements to repay it, (3) do not owe money on a federal student grant or have made satisfactory arrangements to repay it, (4) will notify Southwestern University if you default on a federal student loan, and (5) will not receive a Federal Pell Grant from more than one school for the same period of time.

If you are the parent or the student, by signing this application you agree, if asked, to provide information that will verify the accuracy of your completed form. This information may include your U.S. or state income tax forms. If you purposely give false or misleading information, you may be fined \$20,000, sent to prison, or both. You additionally certify that any differences between what is stated here and what may later be verified can result in necessary changes to the aid award from Southwestern University.

Student	Date	Parent/Stepparent	Date
Spouse, if applicable	Date	Parent/Stepparent	Date

**\*NOTES\***

- If your parents are both living and married to each other, answer the questions about them. If your parents are divorced or separated, answer the questions about the parent you lived with more during the past 12 months. If this parent is remarried as of today, answer the questions on this form about that parent and your stepparent.
- Include in your parents' household: your parents and yourself, your parents' other children if your parents provide more than half of their support or the children could answer "no" to every question in Section II on this form; and, other people if they now live with your parents, your parents provide more than half of their support, and your parents will continue to provide more than half of their support.
- Net worth means current value minus debt. If net worth is negative, enter zero. **Investments include** real estate (do not include the home you live in), trust funds, UGMA and UTMA accounts, money market funds, mutual funds, certificates of deposit, stocks, stock options, bonds, other securities, Coverdell savings accounts, 529 college savings plans and the refund value of 529 prepaid tuition plans, installment and land sale contracts (including mortgages held), commodities, etc. **Investment value includes** the market value of these investments as of today. For a student who must report parental information, the accounts are reported as parental investments in Section III, question "g", including all accounts owned by the student and all accounts owned by the parents for any member of the household. **Investment debt** means only those debts that are related to the investments. **Investments do not include** the home you live in, the value of life insurance, (401[k] retirement plans, pension funds, annuities, noneducation IRA's, Keogh plans, etc.), or cash, savings, and checking accounts already reported in Section I, question "f" or the Parent Financial Section, question "f". **Business and/or investment farm value includes** the market value of land, buildings, machinery, equipment, inventory, etc. Business and/or investment farm debt means only those debts for which the business or investment farm was used as collateral. **Business value does not include** the value of a small business that you (your spouse and/or your parents) own and control and that has 100 or fewer full-time or full-time equivalent employees. Investment farm value does not include the value of a family farm that you (your spouse and/or your parents) live on and operate.
- **Veterans Education Benefits:** Enter the correct number to indicate what type of veterans education benefits you will receive:
  - Enter 1 for Montgomery GI Bill - Active Duty (Chapter 30)
  - Enter 2 for Post-9/11 GI Bill (Chapter 33)
  - Enter 3 for Montgomery GI Bill - Selected Reserve (Chapter 1606)
  - Enter 4 for Reserve Educational Assistance Program (Chapter 1607)
  - Enter 5 for Vocational Rehabilitation and Employment (Chapter 31)
  - Enter 6 for Dependents' Educational Assistance (Chapter 35)
  - Enter 7 for any other type of veterans education benefits
- **Dependency:**
  - Answer "**Yes**" if you had no living parent (biological or adoptive) when you were age 13 or older, even if you are now adopted. Answer "**Yes**" if you were in foster care when you were age 13 or older, even if you are no longer in foster care as of today. Answer "**Yes**" if you were a dependent/ward of the court when you were age 13 or older, even if you are no longer a dependent/ward of the court as of today. Note that the SU Financial Aid Office may require you to provide proof that you were in foster care or a dependent/ward of the court.
  - Answer "**Yes**" if you can provide a copy of a court's decision that you are an emancipated minor or are in legal guardianship. The court must be located in your state of legal residence. If the court order is no longer in effect, answer "**No**." Note that the SU Financial Aid Office may require you to provide additional information such as a copy of the court's decision
  - Answer "**Yes**" if you received a determination at any time on or after July 1, 2008, that you were an unaccompanied youth who was homeless or, for question 60, at risk of being homeless; "**Homeless**" means lacking fixed, regular and adequate housing, which includes living in shelters, motels or cars, or temporarily living with other people because you had nowhere else to go; "**Unaccompanied**" means you are not living in the physical custody of your parent or guardian; "**Youth**" means you are 21 years of age or younger or you are still enrolled in high school as of the day you sign this application. Answer "**No**" if you are not homeless, at risk of being homeless or if you do not have a determination. You should contact the SU Financial Aid Office for assistance if you do not have a determination but believe you are an unaccompanied youth who is homeless or are an unaccompanied youth providing for your own living expenses who is at risk of being homeless. Note that SU Financial Aid Office may require you to provide a copy of the determination if you answered "**Yes**" to one of these questions.
- **Dislocated Worker:**
  - In general, a person is considered a dislocated worker if they meet one of the following conditions: They have lost their job; They have been laid off or received a lay-off notice from their job; They are receiving unemployment benefits due to being laid off or losing a job and are unlikely to return to a previous occupation; They are self-employed but are unemployed due to economic conditions or natural disaster; They are a displaced homemaker. A displaced homemaker is generally a person who previously provided unpaid services to the family (e.g., a stay-at-home mom or dad), is no longer supported by the husband or wife, is unemployed or underemployed, and is having trouble finding or upgrading employment. If a person quits work, generally they are not considered a dislocated worker even if, for example, the person is receiving unemployment benefits. Note that the SU Financial Aid Office may require you to provide a copy of the determination if you answered "**Yes**" to one of these questions.

**\*Be sure to make a copy of the EDFAF for your files before mailing it to us.\***

Return completed form to: Financial Aid Office • Southwestern University • P.O. Box 770 • Georgetown, Texas 78627-0770.  
Phone: (512) 863-1259      Fax: (512) 863-1507      Email: [finaid@southwestern.edu](mailto:finaid@southwestern.edu)

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