

SECTION II: STUDENT DEPENDENCY

- a. Were you born before January 1, 1984? Yes No
- b. At the beginning of the 2007-2008 school year, will you be working on a master's or doctorate program (such as an MA, MBA, MD, JD, PhD, or EdD, or graduate certificate, etc.)? Yes No
- c. As of today, are you married? Answer "Yes" if you are separated but not divorced. Yes No
- d. Do you have children who receive more than half of their support from you? Yes No
- e. Do you have dependents (other than your children or spouse) who live with you and who receive more than half of their support from you, now and through June 30, 2008? Yes No
- f. Are both of your parents deceased, or are you (or were you until age 18) a ward/dependent of the court? Yes No
- g. Are you a veteran of the U.S. Armed Forces? Yes No
- h. Are you currently serving on active duty in the U.S. Armed Forces for purposes other than training? Yes No

SECTION III: PARENT INFORMATION

- a. What is your parents' marital status as of today? Married/Remarried Single Divorced/Separated Widowed
- b. Month and year parents were married, separated, divorced, or widowed (if applicable) _____
- c. Father's/Stepfather's Employer: _____ Work Phone: (____)_____ Email: _____
 Mother's/Stepmother's Employer: _____ Work Phone: (____)_____ Email: _____
- d. Parents' State of Legal Residence _____ Did your parents become legal residents of this state before January 1, 2002? _____
- e. Please list all family members living in your household* (see notes on page 4). Do not list individuals living in your non-custodial parents' household (in the case of divorce).

Family Member's Name	Relationship to Student	Date of Birth (MM/DD/YYYY)	Occupation	Undergraduate college student in 2007-2008?	Name of College or University
_____	SELF	_____	STUDENT	YES	SOUTHWESTERN UNIV
_____	PARENT	_____	_____	_____	_____
_____	PARENT	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

*Additional members may be included on a separate sheet.

- f. Total number of family members in primary household:
- g. Total number of household members (excluding parents) who will be college students in 2007-2008:
- h. In 2006, did you, your parents, or anyone in your parents' household receive benefits from any of the federal benefit programs listed? Mark all the programs that apply. Supplemental Security Income Food Stamps Free/Reduced Price Lunch TANF WIC
- i. Type of tax return to be filed: 1040 1040-A 1040-EZ 1040 TEL Not required to file
- j. 2006 Parents' Estimated Adjusted Gross Income..... \$ _____
- k. 2006 Parents' Estimated US Income Tax paid \$ _____
- l. 2006 Parents' Estimated income earned from work (wages, salaries, tips)Mother/Stepmother \$ _____
Father/Stepfather \$ _____
- m. Go to page 4 and complete the columns on the *right side* of Worksheets A, B, and C. Enter each total here. Even though your parents may have few of the Worksheet items, check each line carefully.
 Worksheet A \$ _____
 Worksheet B \$ _____
 Worksheet C \$ _____
- n. As of today, what is your parents' total current balance of cash, savings and checking accounts?..... \$ _____
- o. As of today, what is the net worth of your parents' investments, including real estate (not your home)? Net worth means current value minus debt. (see notes on page 4)..... \$ _____
- p. As of today, what is the net worth of your parents' current business and/or investment farms? Do not include a farm that your parents live on and operate. (see notes on page 4)..... \$ _____

SECTION IV: UNUSUAL CIRCUMSTANCES

Please use this space to explain any unusual financial/personal circumstances that you wish us to consider. If necessary, attach additional pages. Please be advised that the Financial Aid Office may request additional documentation to support your statements.

SECTION V: SIGNATURES AND AUTHORIZATIONS

Certification of Accuracy: By signing this application, you certify the following:

If you are the student, by signing this application you certify that you (1) will use federal, state and/or institutional student financial aid awarded by Southwestern University to pay only the cost of attending Southwestern University, (2) are not in default on a federal student loan or have made satisfactory arrangements to repay it, (3) do not owe money on a federal student grant or have made satisfactory arrangements to repay it, (4) will notify Southwestern University if you default on a federal student loan, and (5) will not receive a Federal Pell Grant from more than one school for the same period of time.

If you are the parent or the student, by signing this application you agree, if asked, to provide information that will verify the accuracy of your completed form. This information may include your U.S. or state income tax forms. If you purposely give false or misleading information, you may be fined \$20,000, sent to prison, or both. You additionally certify that any differences between what is stated here and what may later be verified can result in necessary changes to the aid award from Southwestern University.

_____	_____	_____	_____
Student	Date	Parent/Stepparent	Date
_____	_____	_____	_____
Spouse, if applicable	Date	Parent/Stepparent	Date

Be sure to make a copy of the EDFAF for your files before mailing it to us.

Return completed form to: Financial Aid Office • Southwestern University • P.O. Box 770 • Georgetown, Texas 78627-0770.
Phone: (512) 863-1259 Fax: (512) 863-1507 Email: finaid@southwestern.edu

STATEMENT OF NONDISCRIMINATION *Southwestern University's recruiting of students, awarding of financial aid, and operation of programs and facilities are without regard to sex, race, color, religion, age, disability, national or ethnic origin, or any other impermissible factor. Southwestern University's commitment to equal opportunity includes nondiscrimination on the basis of sexual orientation. CAMPUS SAFETY AND SECURITY ACT OF 1990* *Southwestern University, like all colleges and universities which receive any federal funding, is required to record and report the incidence of certain criminal activities which have occurred on campus over the previous three years. In addition, schools will provide information on local counseling services and procedures for campus disciplinary action in sex offense cases and campus alcohol and drug policies. Southwestern's most recent report may be obtained by writing Dean of Students, Southwestern University, P.O. Box 770, Georgetown, Texas 78627-0770, or by telephoning (512) 863-1582.*

Student/Spouse	WORKSHEET A *Report Annual Amounts*	Parent(s)
\$	Earned income credit from IRS Form 1040	\$
\$	Additional child tax credit from IRS Form 1040	\$
\$	Welfare benefits, including Temporary Assistance for Needy Families (TANF). Don't include food stamps or subsidized housing.	\$
\$	Social Security benefits received, for all household members as reported in Section III, question f, that were not taxed (such as SSI). Report benefits paid to parents in the parents' column, and benefits paid directly to student in the student's column.	\$
\$	← Enter in Section I, question j	Enter in Section III, question m →

WORKSHEET B *Report Annual Amounts*		
\$	Payments to tax-deferred pension and savings plans (paid directly or withheld from earnings), including, but not limited to, amounts reported on the W-2 form in Boxes 12a through 12d, codes D, E, F, G, H, and S.	\$
\$	IRA deductions and payments to self-employed SEP, SIMPLE, and Keogh and other qualified plans from IRS Form 1040.	\$
\$	Child support you received for all children. Don't include foster care or adoption payments.	\$
\$	Tax exempt interest income from IRS Form 1040.	\$
\$	Foreign income exclusion from IRS Form 2555.	\$
\$	Untaxed portions of IRA distributions from IRS Form 1040. Exclude rollovers. If negative, enter a zero here.	\$
\$	Untaxed portions of pensions from IRS Form 1040. Exclude rollovers. If negative, enter a zero here.	\$
\$	Credit for federal tax on special fuels from IRS Form 4136 – nonfarmers only.	\$
\$	Housing, food, and other living allowances paid to members of the military, clergy, and others (including cash payments and cash value of benefits).	\$
\$	Veterans' noneducation benefits such as Disability Death Pension, or Dependency & Indemnity Compensation (DIC), and/or VA Educational Work-Study Allowances.	\$
\$	Any other untaxed income or benefits not reported elsewhere on Worksheets A and B, such as workers' compensation, untaxed portions of railroad retirement benefits, Black Lung Benefits, disability, combat pay not reported on the tax return, etc. Don't include student aid, Workforce Investment Act educational benefits, non-tax filers' combat pay, or benefits from flexible spending arrangements, e.g., cafeteria plans.	\$
\$	Money received, or paid on your behalf (e.g., bills), not reported elsewhere on this form.	\$
\$	← Enter in Section I, question l	Enter in Section III, question m →

WORKSHEET C *Report Annual Amounts*		
\$	Education credits (Hope and Lifetime Learning tax credits) from IRS Form 1040	\$
\$	Child support you paid because of divorce or separation or as a result of a legal requirement. Don't include support received for children in your (or your parents') household.	\$
\$	Taxable earnings from need-based employment programs, such as Federal Work-Study and need-based employment portions of fellowships and assistantships.	\$
\$	Student grant and scholarship aid reported to the IRS in your (or your parents') adjusted gross income. Includes AmeriCorps benefits (awards, living allowances, and interest accrual payments), as well as grant or scholarship portions of fellowships and assistantships.	\$
\$	← Enter in Section I, question j	Enter in Section III, question m →

NOTES

- If your parents are both living and married to each other, answer the questions about them. If your parents are divorced or separated, answer the questions about the parent you lived with more during the past 12 months. If this parent is remarried as of today, answer the questions on this form about that parent and your stepparent.
- Include in your parents' household: your parents and yourself, your parents' other children if your parents provide more than half of their support or the children could answer "no" to every question in Section II on this form; and, other people if they now live with your parents, your parents provide more than half of their support, and your parents will continue to provide more than half of their support.
- Net worth means current value minus debt. If net worth is negative, enter zero. **Investments include** real estate (do not include the home you live in), trust funds, money market funds, mutual funds, certificates of deposit, stocks, stock options, bonds, other securities, Coverdell savings accounts, college savings plans, installment and land sale contracts (including mortgages held), commodities, etc. **Investment value includes** the market value of these investments as of today. **Investment debt** means only those debts that are related to the investments. **Investments do not include** the home you live in, the value of life insurance, retirement plans (pension funds, annuities, noneducation IRA's, Keogh plans, etc.), and prepaid tuition plans, or cash, savings, and checking accounts already reported in Section I, question k or Section III, question m. **Business and/or investment farm value includes** the market value of land, buildings, machinery, equipment, inventory, etc. Business and/or investment farm debt means only those debts for which the business or investment farm was used as collateral.